



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Peterffy et al.

Confirm. No.: 9758

Application Serial No. 10/697,851

Group Art Unit: 2164

Filed: October 30, 2003

Examiner: To be Assigned

Title: PRICE IMPROVEMENT PROCESSOR FOR ELECTRONIC TRADING OF

FINANCIAL INSTRUMENTS

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this date: September 1, 2005.

Spephen Y. Chow

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BASED ON INTERNATIONAL SEARCH REPORT IN A COUNTERPART PCT APPLICATION

Sir:

In compliance with the requirements of 37 CFR 1.97(e)(1), submitted herewith on Form PTO-1449 is a list of publications identified in an International Search Report in a counterpart PCT application. The International Search Report (listed as Ref. AE and enclosed) was mailed on June 6, 2005, and this Supplemental Information Disclosure Statement is being submitted within three months of that date; therefore, no fee is believed to be due.

However, if the payment of a fee is deemed necessary, the Commissioner is authorized to charge any deficiencies or credit any overpayment to our **Deposit Account No. 03-2410**, *Ref.* No. 30894-101.

If the Examiner has any questions concerning this IDS, he/she is requested to contact the undersigned.

Respectfully submitted,

Dated: September 1, 2005

By:

Stephen Chow Reg. No. 31,338

Attorney for Applicant(s)

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Form PTQE 44

449 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

Atty Docket No Serial No. 30894-101 10/697,851

Applicant

INFORMTION DISCLOSURE STATEMENT BY APPLICANT

Applicant
Peteffy et al.
Filing Date
Group Art Unit

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		-	τ	J.S. PATENT DOCU	MENTS				
EXAMINER INITIAL	DOCUMENT NUMBER		DATE	NAME	CLASS	SUBCLASS	FILING IF APPRO		
	AA	2002/0194105A1	12/19/02	Klein					
1*	AB	2003/0004858A1	01/02/03	Schmitz et al.					
	AC	2003/0177086A1	09/18/03	Gomber et al.					-
`	AD	2003/0182224A1	09/25/03	Horrigan et al.					
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	A E	T 4 - 41 - 10		OCUMENTS			nor, Title, Date, I	eriineni Pag	es, etc.)
	AE	International Search Report completed on 13 April 2005 and mailed on 6 June 2005.							
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EXAMINER						DATE CO	ONSIDERED	· · · · · ·	
EXAMINER:	Initial if c	itation considered w	hether or not cit	tation is in conformance wit	h MPEP § 609: Dra	l w line throu	gh citation if not	in conformar	nce and

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.